

Receipt date: 11/22/2011

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

10693838 - GALL 2456
Provisional 10/09

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693838
Filing Date	2003-10-24
First Named Inventor	Galen C. Hunt
Art Unit	2456
Examiner Name	Kevin T. Bates
Attorney Docket Number	MS1-1778US

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	7389411		2008-06-17	King, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020118642	A1	2002-08-29	Lee, Daniel Joseph	
	2	20020143960	A1	2002-10-03	Goren, Erez; et al.	
	3	20040210771	A1	2004-10-21	Wood, David L.; et al.	
	4	20050131773	A1	2005-06-16	Daur, Harald; et al.	
	5	20050181775	A1	2005-08-18	Rideout, Clarence P. JR.; et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Receipt date: 11/22/2011		Application Number		10693838	10693838 - GAU: 2456
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		Filing Date		2003-10-24	
		First Named Inventor		Galen C. Hunt	
		Art Unit		2456	
		Examiner Name		Kevin T. Bates	
		Attorney Docket Number		MS1-1778US	

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T5
	1	GB2363286			2001-12-12	SUMITOMO ELECTRIC INDUSTRIES [JP]+ (* S		<input type="checkbox"/>
	2	TW444461			2001-07-01			<input type="checkbox"/>
	3	TW511352			2002-11-21			<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	Copy of the Canadian Office Action mailed October 3, 2011 for Canadian patent application No. 2,470,300, a counterpart foreign application of US patent No. 7,636,917, 5 pages	<input type="checkbox"/>
	2	Translated copy of the Chinese Office Action mailed October 19, 2011 for Chinese patent application No. 200410063258.2, a counterpart foreign application of US patent No. 7,636,917, 6 pages	<input type="checkbox"/>
	3	Copy of the European Office Action mailed October 5, 2011 for European patent application No. 04002223.8, a counterpart foreign application of US patent application No. 10/382,942, 4 pages	<input type="checkbox"/>
	4	Copy of the Indian Office Action dated September 20, 2011 for Indian patent application No. 216/MUM/2004, a counterpart foreign application of US patent application No. 10/693,838, 3 pages	<input type="checkbox"/>
	5	Translated copy of the Japanese Office Action mailed November 11, 2011 for Japanese patent application No. 2004-63225, a counterpart foreign application of US patent No. 7,890,543, 7 pages	<input type="checkbox"/>

Receipt date: 11/22/2011

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693838	10693838 - GAU: 2456
Filing Date	2003-10-24	
First Named Inventor	Galen C. Hunt	
Art Unit	2456	
Examiner Name	Kevin T. Bates	
Attorney Docket Number	MS1-1778US	

6	Translated copy of the Japanese Office Action mailed November 4, 2011 for Japanese patent application No. 2010-233677, a counterpart foreign application of US patent No. 6,886,038, 10 pages	<input type="checkbox"/>
7	Translated copy of the Japanese Office Action mailed September 16, 2011 for Japanese patent application No. 2008-538891, a counterpart foreign application of US patent No. 7,941,309, 7 pages	<input type="checkbox"/>
8	Translated copy of the Office Action mailed September 30, 2011 for Japanese patent application No. 2004-64566, a counterpart foreign application of US patent No. 7,072,807, 5 pages	<input type="checkbox"/>
9	Copy of the Korean Office Action mailed October 31, 2011 for Korean patent application No. 10-2004-49374, a counterpart foreign application of US patent No. 7,606,929, 3 pages	<input type="checkbox"/>
10	Office Action for US Patent Application 10/837,419, mailed on August 23, 2011, Galen C. Hunt, "Isolated Persistent Identity Storage For Authentication of Computing Devices", 64 pgs.	<input type="checkbox"/>
11	Office Action for US Patent Application 11/107,420, mailed on November 8, 2011, Anders B. Vinberg, "Model Based System Monitoring", 15 pgs.	<input type="checkbox"/>
12	Final Office Action for US Patent Application 12/839,328, mailed on September 22, 2011, Bassam Tabbara, "System and Method for Restricting Data Transfers and Managing Software Components of Distributed Computers", 18 pages	<input type="checkbox"/>
13	Final Office Action for US Patent Application 11/170,715, mailed on September 26, 2011, Anders B. Vinberg, "Model-Based Propagation of Attributes ", 43 pages	<input type="checkbox"/>
14	Copy of the TW Office Action mailed September 1, 2011 for Taiwanese patent application No. 93101903, a counterpart foreign application of US patent application No. 10/382,942, 8 pages	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature

/Kevin Bates/

Date Considered

11/28/2011

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Receipt date: 11/22/2011

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693838	10693838 - GAU: 2456
Filing Date	2003-10-24	
First Named Inventor	Galen C. Hunt	
Art Unit	2456	
Examiner Name	Kevin T. Bates	
Attorney Docket Number	MS1-1778US	

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

/Kevin Bates/

11/28/2011

Receipt date: 11/22/2011

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693838	10693838 - GAU: 2456
Filing Date	2003-10-24	
First Named Inventor	Galen C. Hunt	
Art Unit	2456	
Examiner Name	Kevin T. Bates	
Attorney Docket Number	MS1-1778US	

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
 None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Shirley L. Anderson/ Reg. No. 57,763	Date (YYYY-MM-DD)	2011-11-22
Name/Print	Shirley L. Anderson	Registration Number	57,763

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

/Kevin Bates/

11/28/2011